Corporate Headquarters
 T +91 (0)194 248 3775
 W www.jkbank.com

 M A Road, Srinagar 190001
 F +91 (0)194 248 1928
 E board.sectt@jkbmail.com
Kashmir, India CIN: L65110JK1938SGC000048



Ref:-JKB/BS/F3652/2022/171 Date: 05th November, 2022

National Stock Exchange of India Limited Exchange Plaza 5th Floor Plot No. C/1 G-Block Bandra Kurla Complex Bandra (E) Mumbai - 400 051 Symbol: J&KBANK

The BSE Limited Phiroze Jeejeebhoy Towers Dalal street Mumbai - 400 001 Scrip Code: 532209

SUB:- REGULATION 9 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Dear Sirs,

Pursuant to Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are enclosing herewith an instance of violation of code of conduct on account of dealing in shares of Bank (sale) during window closure period.

This is for your information.

Thanking you

Yours faithfully For Jammu and Kashmir Bank Limited

(Mohammad Shafi Mir) **Company Secretary**



 Corporate Headquarters
 T +91 (0)194 248 3775
 W www.jkbank.com

 M A Road, Srinagar 190001
 F +91 (0)194 248 1928
 E board.sectt@jkbmail.com
Kashmir, India CIN: L65110JK1938SGC000048



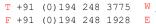
Board Secretariat

Report by Jammu and Kashmir Bank Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

S No.	Particulars	Details	
1	Name of the listed company/ Intermediary/Fiduciary	Jammu and Kashmir Bank Limited	
2	Please tick appropriate checkbox Reporting in capacity of : Listed Company Intermediary Fiduciary	Listed Company	
3	A. Details of Designated Person (DP)		
	i. Name of the DP	Mujeeb U. Sajad Bhat	
	ii. PAN of the DP	AXGPB0620G	
	iii. Designation of DP	Manager	
	iv. Functional Role of DP	Manager, Integrated Risk Management Department	
	v. Whether DP is Promoter or belongs to Promoter Group	No	
	B. If Reporting is for immediate relative of DP		
	i. Name of the immediate relative of DP	Not Applicable	
	ii. PAN of the immediate relative of DP		
	C. Details of transaction(s)		
	i. Name of the scrip	NSE Symbol: J&KBANK BSE Scrip Code: 532209	
	ii. No of shares traded and value (Rs.) (Date- wise)	1 share (face vale Re. 1) Traded Value Rs. 33.25 Date: October 21, 2022	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter		
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable	
4	Details of violations observed under Code of Conduct	Dealing in shares of Bank (sale) during window closure period	
5	Action taken by Listed company	Warning letter issued	

 Corporate Headquarters
 T +91 (0)194 248 3775
 W www.jkbank.com

 M A Road, Srinagar 190001
 F +91 (0)194 248 1928
 E board.sectt@jkbmail.com
Kashmir, India CIN: L65110JK1938SGC000048





Board Secretariat

CNL			
S No.	Particulars		Details
6	Reasons recorded in writing for taking action stated above		In view of lack of understanding of the Code of Conduct in place and keeping in view the miniscule number/value of shares traded, only warning letter was issued.
7	Details of the previous instances of violations, if any, since last financial year		Nil
8	If any amount collected for Code of Conduct violation(s)		
	i. Mode of transfer to SEBI - IPE	F (Online/Demand Draft)	Not Applicable
	ii. Details of transfer/payment		
	In case of Online:		
	Particulars	Details	
	Name of the transferor		
	Bank Name, branch and		
	Account number		
	UTR/Transaction reference Number		
	Transaction date		
	Transaction Amount (in Rs.)		Not Applicable
	In case of Demand Draft (DD):		
	Particulars	Details	
	Bank Name and branch		
	DD Number		
	DD date		
	DD amount (in Rs.)		
9	Any other relevant information		Nil

Yours faithfully,

Speng

Mohammad Shafi Mir **Compliance Officer**

PAN: ALKPM5652R Email ID: shafi.mir@jkbmail.com

Date: Srinagar Place: November 05, 2022